

Avocado Industry Council

AvoGreen® Non-Compliance Actions



ACTIONS IN EVENT OF NON-COMPLIANCE WITH AvoGreen® STANDARDS AND SPECIFICATIONS

Note: These procedures are guidelines and do not cover every possible eventuality. When circumstances arise which are not in these procedures, appropriate action shall be required. All final decisions shall be the responsibility of the AIC and individual circumstances shall be considered.

SPECIFICATION/ NON COMPLIANCE	CATEGORY	CORRECTIVE ACTION REQUIRED FROM OPERATOR	AIC ACTION
<u>AvoGreen® Protocol</u>			
Copy of AvoGreen® protocol unavailable	Major	To obtain a copy of the AvoGreen® protocol within 10 days	Failure to provide staff with a copy will result in licence being considered for suspension until copies provided
AvoGreen® protocol not understood	Major	To ensure all monitors have read and understood the protocol within 10 days	Failure to explain the protocol to monitors will result in licence being considered for suspension
Changes to AvoGreen® protocol not tracked or implemented	Major	To ensure that all changes to the protocol are understood and implemented within 10 days	Failure to implement changes will result in licence being considered for suspension
No record of orchards being monitored by PPIN	Minor	Provide a list of all monitored orchards with PPINs to auditors within 10 days	Non compliance will be upgraded to a major and 48 hours notice will be given to supply the required information
Monitoring data not forwarded to AIC by specified date	Major	Data forwarded to AIC within 48 hours of notice	Non compliance will result in licence being considered for suspension

SPECIFICATION/ NON COMPLIANCE	CATEGORY	CORRECTIVE ACTION REQUIRED FROM OPERATOR	AIC ACTION
<u>Record Keeping</u>			
No register of accredited monitoring staff	Minor	Provide auditor with a list of accredited monitoring staff within 10 days	Non compliance upgraded to major if not supplied within specified period
No effective plan for ensuring compliance	Minor	Ensure that the management chart is available and being used by next audit	Non compliance upgraded to a major if the management chart is not provided
Records for each orchard on file unavailable	Minor	Provide either electronic or paper files of each orchard within 10 days	Non compliance upgraded to major if no filing system implemented
Poor orchard observations and not followed up	Minor	Provide details of insects found in monitored block by next audit	Non compliance upgraded to a major if corrective action not followed
<u>Sample Plans</u>			
Inadequate monitoring frequency (Pest Monitoring Centre not meeting obligations)	Major	Management plan to include frequency of monitoring for pests during season	Non compliance will result in licence being considered for suspension
Monitoring plans unavailable	Minor	Plan to be available for all major pests within 10 days	Non compliance upgraded to a major if corrective action not followed
Incomplete monitoring plans	Minor	Sampling plan for each major insect to be provided within 10 days.	Non compliance upgraded to a major if corrective action not followed
<u>Sampling Results</u>			
Recommended threshold levels not being used or no evidence of approval for deviation.	Minor	Monitors to follow the protocol. Any deviation to be approved by the AIC	Non compliance to be upgraded to a major if no action taken by operator
% infestation calculated incorrectly	Minor	Recalculation of results	Monitoring of orchard to be deemed noncompliant with specification and upgraded to major

SPECIFICATION/ NON COMPLIANCE	CATEGORY	CORRECTIVE ACTION REQUIRED FROM OPERATOR	AIC ACTION
Inadequate supporting information used	Minor	Monitors to be provided with a copy of AvoGreen® protocol within 7 days	Failure to provide staff with a copy will result in non compliance being upgraded to major
Monitoring results to grower outside 48 hours of monitoring	Major	Provide auditor with clear evidence that monitoring results are returned to grower within 48 hours	Non compliance will result in licence being considered for suspension
<u>Training & Audits</u>			
Not all monitors receiving AvoGreen® training	Major	Provision of monitor list to check off against AIC list	Non compliance will result in licence being considered for suspension
Inadequate support and internal training	Minor	Diary with dates for internal training to be forwarded to AIC within 7 days	Non compliance to be upgraded to a major if no action taken by operator
Inadequate buddy system used to enhance training	Major	Register of accredited monitors assisting trainees to be forwarded to AIC within 48 hours	Non compliance will result in licence being considered for suspension
Ineffective internal audit programme	Minor	Worksheets to be provided detailing internal audits by next audit	Non compliance to be upgraded to a major if no action taken by operator
Records of training and audits unavailable	Minor	A register of training and audits to be provided by next audit	Non compliance to be upgraded to a major

Suspension will occur due to any one of the following:

1. 3 major non compliances in one audit.
2. A total of 10 major and/or minor non compliances over the monitoring season for the appropriate region.

Reinstatement:

1. One full system audit pass is required to gain re accreditation with no non compliances.
2. Have full system audit within 30 days of notification of suspension.